I hereby certify that on February 8, 2002, which is the date I am signing this certificate, this correspondence and all listed attachments are being deposited with the United States Postal Service as Express Mail Post Office to Addressee" under 37CFR § 1.10, Mailing Label No. EL920536818US in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Sergio F. Chacon

FRE And A 5-4.02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of:

Rex Carswell George NIVEN

Serial Number: To Be Assigned

Filing Date: February 8, 2002

Entitled: SAFETY/WARNING DEVICE

Commissioner for Patents Washington, D.C. 20231

Group Art Unit: To Be Determined

Examiner: To Be Determined

PRELIMINARY AMENDMENT

IN THE CLAIMS:

Please amend claims 9, 12, 13 and 14 to read as follows:

(Amended) A safety/warning device as claimed in claim 2, wherein the light source is located within the lens such that the at least one light emitting diode is at a height relative to the lens to give a relatively bright band of light in the horizontal direction through a first portion of the lens, the first portion having relatively smooth and convex outer surface.

12. (Amended). A safety/warning device as claimed in claim 11, wherein the lens has an upper surface with Fresnel lens characteristics to minimize light transmission there through except for a generally vertical, central beam.